

Appendix 1

Resource Information

For easy reference and access to further information about the topics discussed in this AMBIF Implementation Guidelines for Hong Kong, China—including the regulatory authorities; securities market-related institutions; or the Hong Kong, China bond market at large—interested parties are encouraged to utilize the following links (all websites are available in English, as well as in simplified and traditional Chinese):

ASEAN+3 Bond Market Guide (BMG)—Hong Kong, China
[https://wpqr4.adb.org/LotusQuickr/asean3abmf/Main.nsf/h_Index/4CC53EFBD63D7BA3482579D4001B5CED/\\$file/abmf%20vol1%20sec2%20hk.pdf](https://wpqr4.adb.org/LotusQuickr/asean3abmf/Main.nsf/h_Index/4CC53EFBD63D7BA3482579D4001B5CED/$file/abmf%20vol1%20sec2%20hk.pdf)

Bank of China (Hong Kong)—RMB Clearing Bank
http://www.bochk.com/web/common/multi_section.xml?section=rmb&level_2=rmb_clearing&fldr_id=31806

Hong Kong Exchanges and Clearing Limited
<http://www.hkex.com.hk/eng/index.htm>

Hong Kong Exchanges and Clearing Limited—Central Clearing and Settlement System
<https://www.hkex.com.hk/eng/market/clr/secclr/ccass3/ccass3.htm>

Hong Kong Exchanges and Clearing Limited—Professional Bonds
<https://www.hkex.com.hk/eng/newsconsul/newsltr/2012/Documents/2012-07-10-E.pdf>

Hong Kong Monetary Authority
<http://www.hkma.gov.hk/eng/index.shtml>

Hong Kong Monetary Authority—Central Moneymarkets Unit
https://www.cmu.org.hk/cmupbb_ws/eng/page/wmp0100/wmp010001.aspx

Securities and Futures Commission
<http://www.sfc.hk/web/EN/index.html>

Appendix 2

Glossary of Technical Terms

CMU Instrument Number	Proprietary securities code for CMU eligible instruments
Institutional Investors	Definition of professional investors in Hong Kong, China, according to the SFO
Letter of Eligibility	Issued by HKEx to indicate an issuer is able to list bonds or notes on the HKEx Professional Bonds market
listing	Typically, the action of submitting a bond or note issue or other securities to an exchange for the purpose of price finding, disclosure, or profiling
Professional Bonds	Dedicated market segment on HKEx for the profile listing of bonds and notes aimed at professional investors
Professional Investor	Professional investor concept used by HKEx, which includes Institutional Investors and High Net Worth Individuals, per the SFO definitions
registration	The action of registering a bond or note issue, for reference pricing or disclosure purposes